

# Polarized DIS Structure Functions and Polarized PDFs from Neural Networks

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In collaboration with:

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# Outline

- 1 Introduction
- 2 The Neural Network Approach
- 3  $g_1$  from Neural Networks



# Motivation

- The growth in statistics and improving precision of polarized data allow us to reduce errors in the extraction of polarized parton distributions.
- Experience in unpolarized case showed that sometimes a discrepancy between theory and experiments is not a signal of "new physics" but "old physics" we do not fully understand
  - High- $E_T$  jets at the Tevatron,
  - B production,
  - ...
- Need for **faithful estimation of errors** on polarized parton distribution functions (PDF).



# Problem

## Faithful estimation of errors

- Single quantity:  $1\text{-}\sigma$  error
- Multiple quantities:  $1\text{-}\sigma$  contours
- Function: need an "error band" in the space of functions (*i.e.* the probability density  $\mathcal{P}[f]$  in the space of functions  $f(x)$ )

Expectation values are Functional integrals

$$\langle \mathcal{F}[f(x)] \rangle = \int \mathcal{D}f \mathcal{F}[f(x)] \mathcal{P}[f(x)]$$



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Determine an infinite-dimensional object (a function) from a finite set of data points ... **mathematically ill-defined problem.**



# Solution

## Standard Approach

- Introduce a simple functional form with enough free parameters

$$q(x, Q^2) = x^\alpha (1 - x)^\beta P(x; \lambda_1, \dots, \lambda_n).$$

- Fit parameters minimizing  $\chi^2$ .



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### Open problems:

- **Error propagation** from data to parameters and from parameters to observables is **not trivial**.
- **Theoretical bias** due to the chosen **parametrization** is difficult to assess.



# The Strategy

## Bayesian Inference Method

[Giele, Keller and Kosower, hep-ph/0104052]

- Generate a **Monte-Carlo sampling** of the function space according to a *reasonable* prior distribution.
- Compute **observables** as **functional integrals** with the probability measure defined by the sampling.
- Update probability using **Bayesian inference** on the MC sample.
- Iterate until convergence is reached.

The originally "infinite dimensional" problem is made finite by **choosing a prior**, but the final result should not depend on this choice.





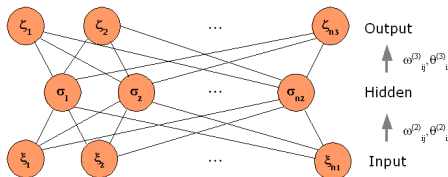
# The Neural Network Approach

- 1 Generate  $N_{rep}$  Monte-Carlo replicas of the experimental data.
- 2 *Train* a Neural Network on any of the replicas, defining a probability density on the space of the observable.
- 3 **Expectation values** for observables are **sums over nets**

$$\langle \mathcal{F}[g_1(x, Q^2)] \rangle = \frac{1}{N_{rep}} \sum_{k=1}^{N_{rep}} \mathcal{F}(g_1^{(net)(k)}(x, Q^2))$$



# Neural Networks



- Neural Networks are a class of algorithms suitable to fit noisy or incomplete data.

[for HEP applications see ACAT 2005]

- Any continuous function can be approximated with neural network with one internal layer and non-linear neuron activation function.

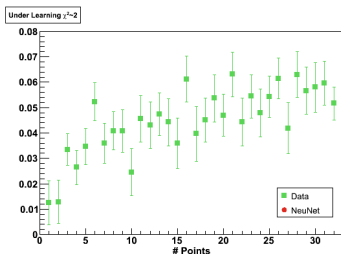
[G. Cybenko (1989)]



# Neural Networks

## Training

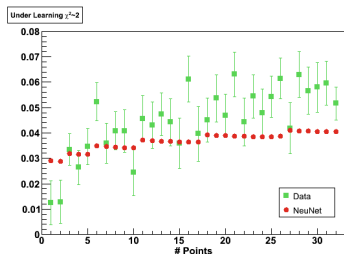
- Set network parameters randomly.
- If there are different inputs, normalize them.
- Define a *figure of merit*  $E$  (i.e.  $\chi^2$ ).
- Define a *criterion of convergence* (i.e.  $\chi^2 \sim 1$ ).



# Neural Networks

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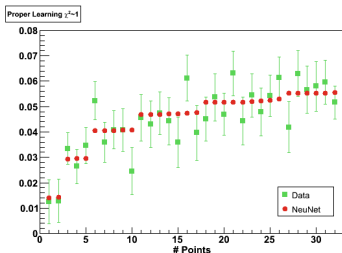
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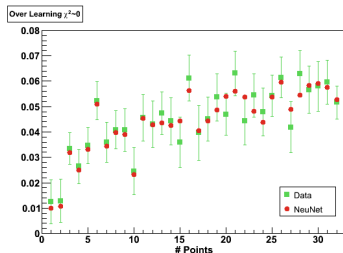
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# Neural Networks

## Training Methods

### • Back Propagation

- 1 Set network parameters randomly.
- 2 Present an input and compute the output.
- 3 Evaluate  $\chi^2$ .
- 4 Modify the weights according to

$$\omega_{ij}^{(l)} \rightarrow \omega_{ij}^{(l)} - \eta \frac{\partial \chi^2}{\partial \omega_{ij}^{(l)}}$$

- 5 Back to 2, until stability in  $\chi^2$  is reached.



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### • Genetic Algorithm

- 1 Set network parameters randomly.
- 2 Make *clones* of the set of parameters.
- 3 Mutate each clone.
- 4 Evaluate  $\chi^2$  for all the clones.
- 5 Select the clone that has the lowest  $\chi^2$ .
- 6 Back to 2, until stability in  $\chi^2$  is reached.





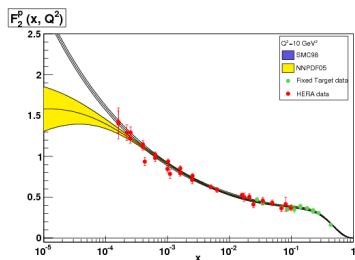
# $g_1$ from Neural Networks

Data &amp; MC replicas

## Data in the present analysis

Experiment	$x$ range	$Q^2$ range	# of points
ES0	0.110 – 0.510	1.02 – 4.09	7
E130	0.380 – 0.580	1.45 – 1.70	5
EMC	0.015 – 0.466	3.50 – 29.5	10
SMC	0.005 – 0.480	1.30 – 58.0	12
E143	0.031 – 0.749	1.27 – 9.52	28
E155	0.015 – 0.750	1.22 – 34.72	24
HERMES	0.023 – 0.660	0.92 – 7.36	20
Total	0.005 – 0.750	0.92 – 58.0	106

- Only statistical and (when available) uncorrelated systematic errors.
- $g_1$  is extracted from  $A_1$  data using the **NNPDF** parametrization of  $F_2$

[Del Debbio *et al.*, hep-ph/0501067]

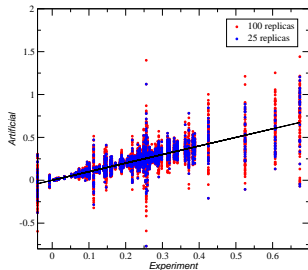
# $g_1$ from Neural Networks

## Data & MC replicas

- Generate  $N_{rep}$  Monte-Carlo replicas of the data according to:

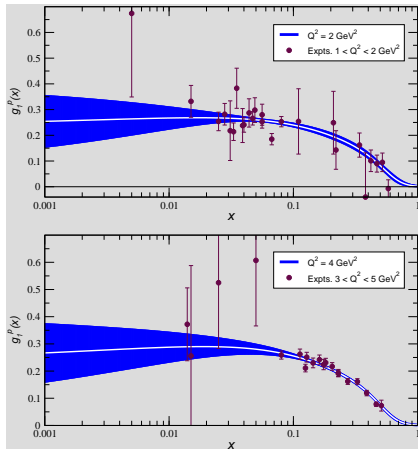
$$g_1^{(art),i}(x, Q^2) = g_1^{(exp)}(x, Q^2) + r_i \sigma_t^i$$

- Validate Monte-Carlo replicas against experimental data.  
(statistical estimators, faithful representation of uncertainties, convergence rate increasing  $N_{rep}$ )



# $g_1^P$ from Neural Networks

Preliminary Fit



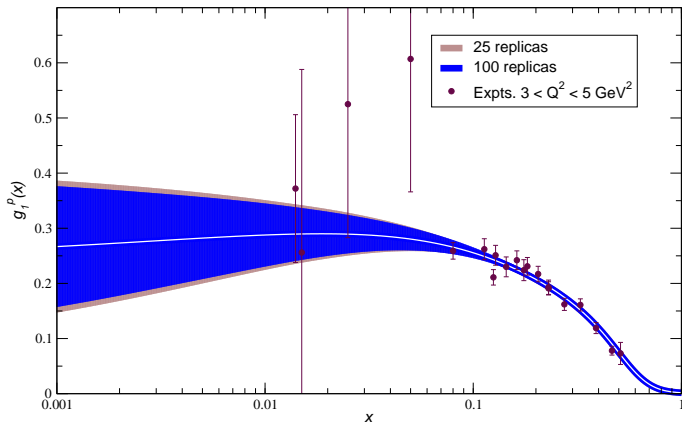
Network architecture: 4-3-1  
 $N_{rep} = 100$   
 Training: *Genetic Algorithm*

Input:  $x, Q^2, \ln x, \ln Q^2$   
 Output:  $g_1(x, Q^2)$



# $g_1^P$ from Neural Networks

Preliminary Fit



# Summary

Where we are ...

- We derived a parametrization of the structure function  $g_1^p$  with faithful error estimation, based on Monte-Carlo techniques and Neural Networks.
- It could be used as input in a (Factorization-)Scheme-Invariant analysis to determine  $\alpha_s$ . ([Blüemlein and Böttcher])
- Inclusion of new data and finalization of the analysis before the end of the year.



# Instead of Conclusions

## The way to NN Polarized PDFs

The general strategy is the same as in the structure function case but

- Each PDF is parametrized by a different neural network  
 $(\Delta u_v^{(net)}(x, Q_0^2), \Delta d_v^{(net)}(x, Q_0^2), \Delta \bar{q}^{(net)}(x, Q_0^2), \Delta g^{(net)}(x, Q_0^2))$ .
- The training of neural networks on experimental data involves DGLAP evolution and convolution with Wilson Coefficients.
- Include other observables ( $g_1^{d,n}$ , SIDIS, polarized Drell-Yan).

